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TRANSMITTAL FORM

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Total Number of Pages in This Submission

9

Application Number

10/645,259

Filing Date

August 20, 2003

First Named Inventor

Ming Gao YAO et al.

Art Unit

TBA

Examiner Name

TBA

Attorney Docket Number

12553/63

ENCLOSURES

(Check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
 - 1. PTO-1449 with 7 references
 - 2. Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name
Stephen T. Neal
(Reg. No. 47,815)

Signature
Stephen T. Neal

Date
October 3, 2003

CERTIFICATE OF TRANSMISSION/MAILING

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46587v1

Signature

Barbara Vance

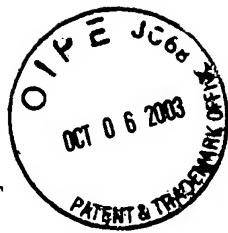
Date

October 3, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

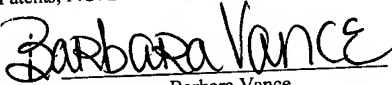


Docket No. 12553/63

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Ming Gao YAO et al.
SERIAL NO. : 10/645,259
FILED : August 20, 2003
FOR : METHOD AND APPARATUS FOR CONNECTING A
MICRO-ACTUATOR TO DRIVER ARM SUSPENSION
GROUP ART UNIT : TBA
EXAMINER : TBA

M/S: DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing	
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Date: <u>October 3, 2003</u>	 Barbara Vance

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the seven (7) reference(s) listed on the attached PTO-1449 (modified) form. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The information disclosure statement, along with the International Search Report, is being submitted under 37 C.F.R. §1.97(c)(1). I hereby certify that each item of information contained

in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

If any fee is required, please charge Kenyon & Kenyon, Deposit Account No. **11-0600**. A copy of this statement is enclosed for that purpose.

Respectfully submitted,

KENYON & KENYON

Dated: October 3, 2003

By: Stephen T. Neal
Stephen T. Neal
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Form PTO-1449 (modified)

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT(S)' INFORMATION
DISCLOSURE STATEMENTAttorney Docket No.
12553/63Serial No.
10/645,259Applicant
Ming Gao YAO et al.Filing Date
August 20, 2003Group Art Unit
TBA

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*
	6,341,415 B2	January 29, 2002	Amemiya et al.	29	60306	June 30, 1998
	6,349,017 B1	February 19, 2002	Schott	360	234.6	February 21, 1997

* - If pertinent

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
	CN1233054 A Family: 2001/0021085 A1	October 27, 1999 September 13, 2001	China USA	G11B 360	21/16 234.6
	CN1257273 A Family: 6,339,519 B1	June 21, 2000 January 15, 2002	China USA	G11B 360	5/127 244.1
	CN1264124 A	August 23, 2000	China	G11B	21/21
	CN1347078 A Family: 2002/0036870 A1	May 1, 2002 March 28, 2002	China USA	G11B 360	5/48 294.4
	CN1378685 T Family: 2003/0058577 A1	November 6, 2002 March 27, 2003	China USA	G11B 360	5/53 234.5

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	